



BASQUE CENTRE  
FOR CLIMATE CHANGE  
Klima Aldaketa Ikergai

Biodiversity and Resilience of  
Agroecosystems workshop  
Montpellier, March 7-8, 2013

# Socio-economic dimensions of biodiversity conservation initiatives in agricultural landscapes

**Unai Pascual ([unai.pascual@bc3research.org](mailto:unai.pascual@bc3research.org))**

*Ikerbasque Research Professor*

*Basque Centre for Climate Change (BC3)*

*& Cambridge University*



# This talk

- Seed system resilience
- Natural Insurance value
- Diversitas landscape approach
- Economic incentives and equity
- Directions of further research

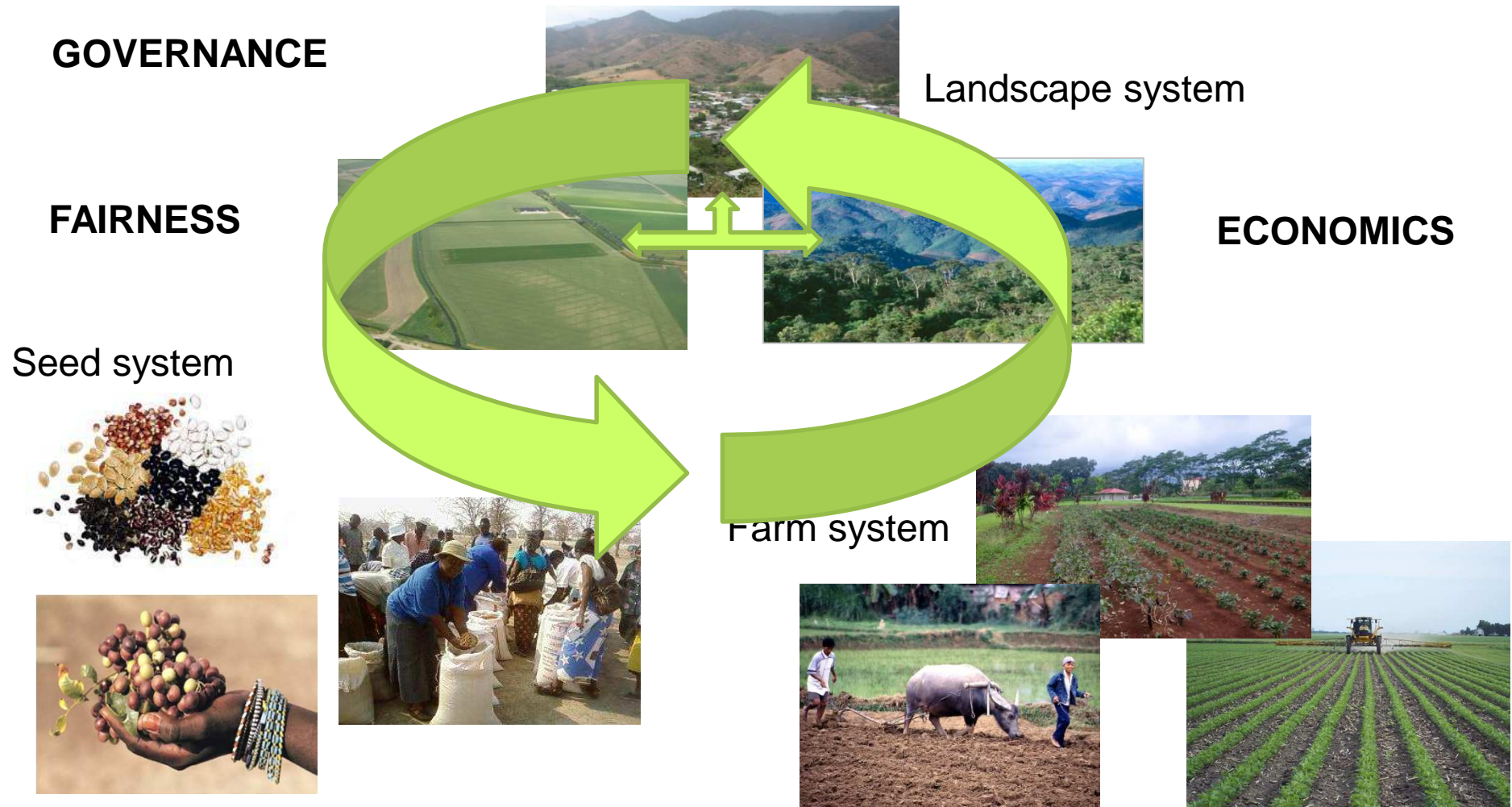


# Assumptions for a resilience centred ecological economic approach

- Social Ecological systems (SESs) may contain thresholds and can exhibit non-linearities
- We are often dealing with uncertainty-ignorance beyond probabilistic risk
- Agents are boundedly rational
- Well-defined property rights do not exist for many key ecosystem services
- Market imperfections are the norm rather than the exception
- Agents hold preferences, over the social, economic, and political processes → Expert solutions rarely maximize legitimacy

Walker et al (2002) **Resilience Management in Social-ecological Systems: a Working Hypothesis for a Participatory Approach.** *Conservation Ecology*, 6(1)

# Cross scale resilience in agrobiodiversity research





## Resilience, food security and ecosystem services

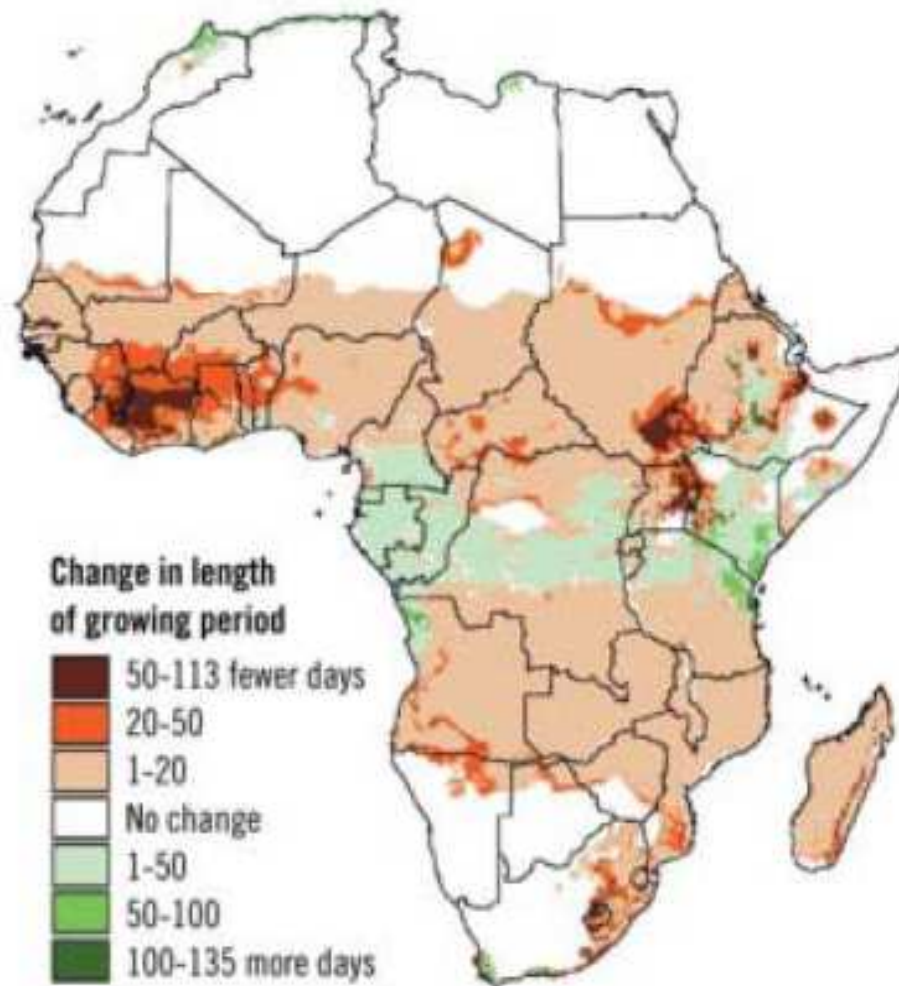
- 900 million people live with chronic hunger globally
- Less a problem of inadequate food supplies but of lack of purchasing power to buy food
- Resilience research for ensuring food security and multiple ecosystem services



# Resilience and Vulnerability

FIGURE 1.2 CLIMATE CHANGE AND FOOD SECURITY

Changes in Projected Growing Season, 2000-2050



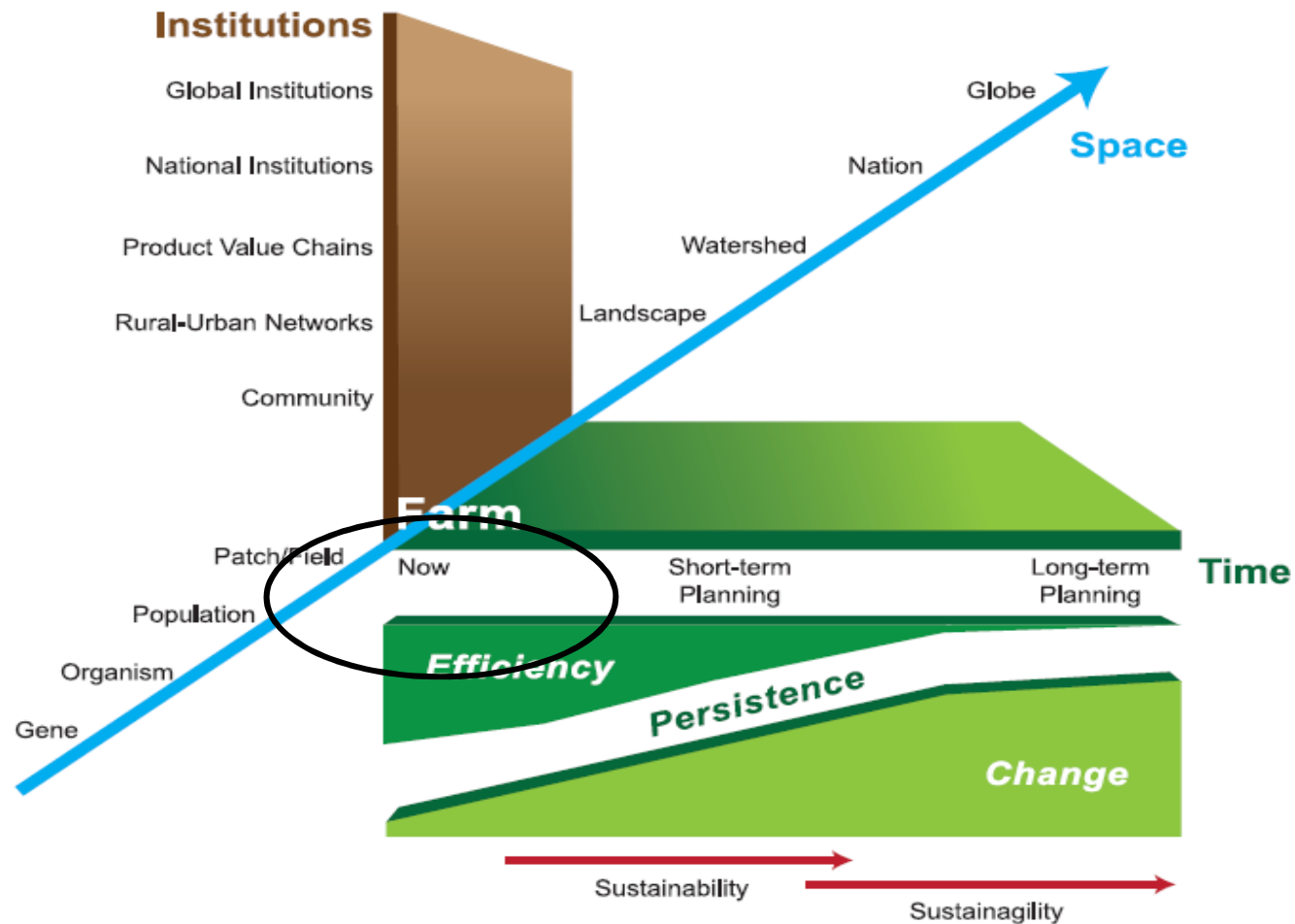
Source: Thornton et al. 2002:89

LDCs may experience around 10% decrease in lands suitable for rainfed agriculture by 2080 due to climate change

Interventions needed for adaptation and resilience

Reduction of social vulnerability through the extension and consolidation of social networks (Tompkins and Adger, 2004)

# Planning for biodiversity based transformation of agriculture in the face of global change



(Jackson et al., 2010, Curr Opinion Env Sci 2: 80)



## Pathways for productivity improvement

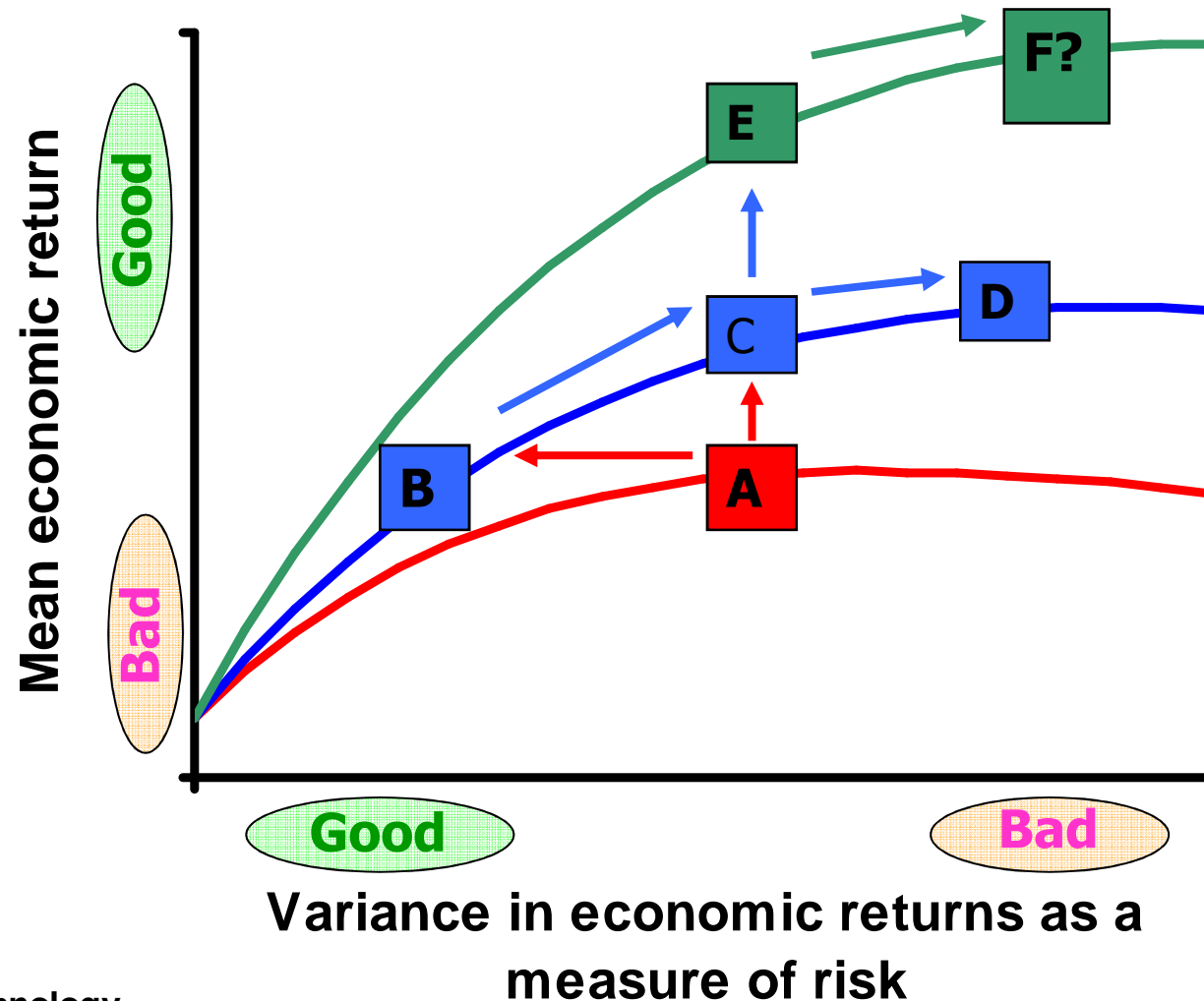
(i) Using enabling technologies to maintain current productivity but reduce risk (A to B)

(ii) Addressing system inefficiencies at “constant risk” (A to C)

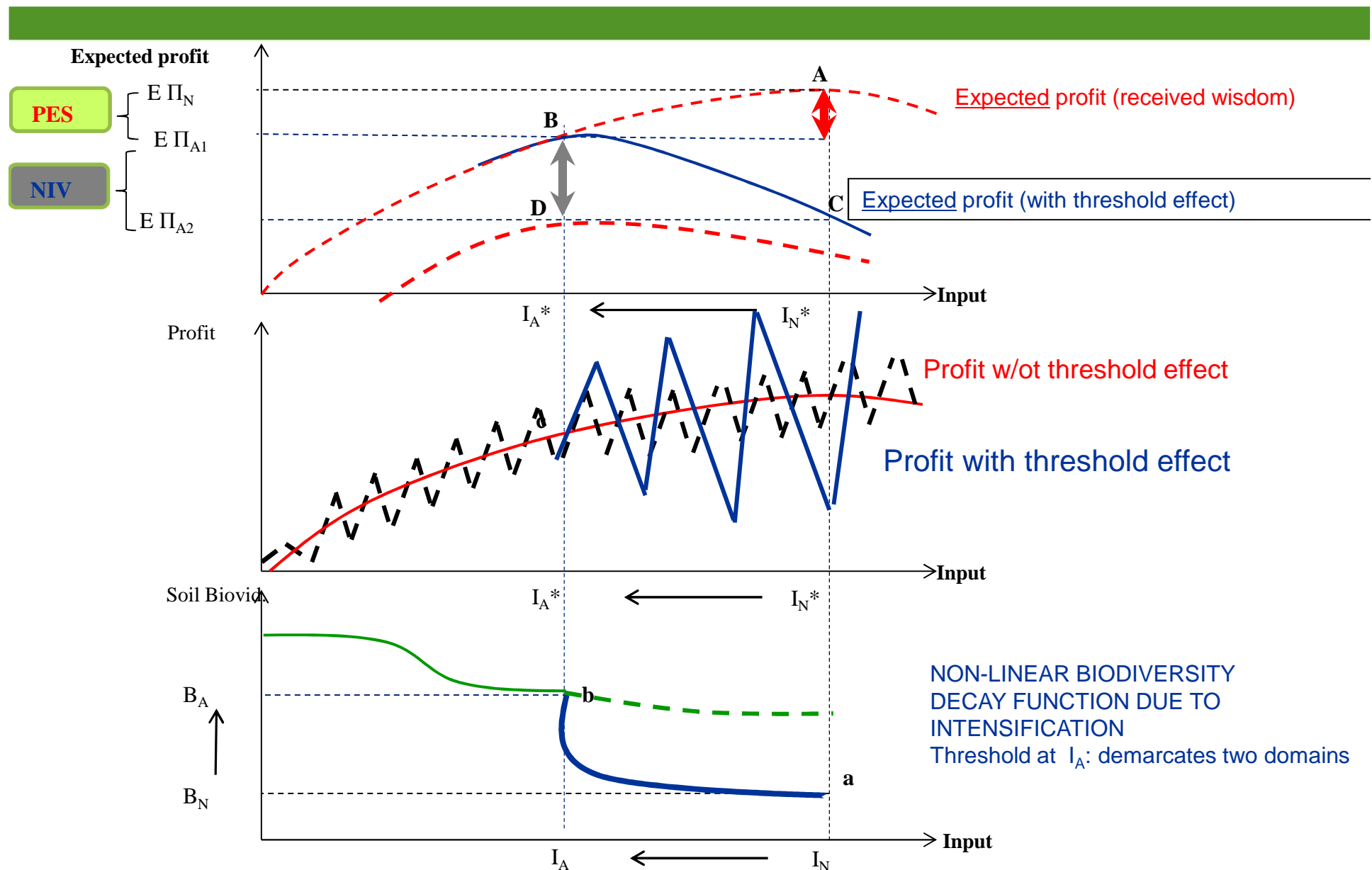
(iii) Moving along the new efficiency frontier (C to D)

(iv) Lifting productivity to a new level through transformational technologies (C to E)

## Using efficiency frontiers as risk management tools



Keating et al., 2010, Crop Science, 50, 109-119.



## Seed system resilience



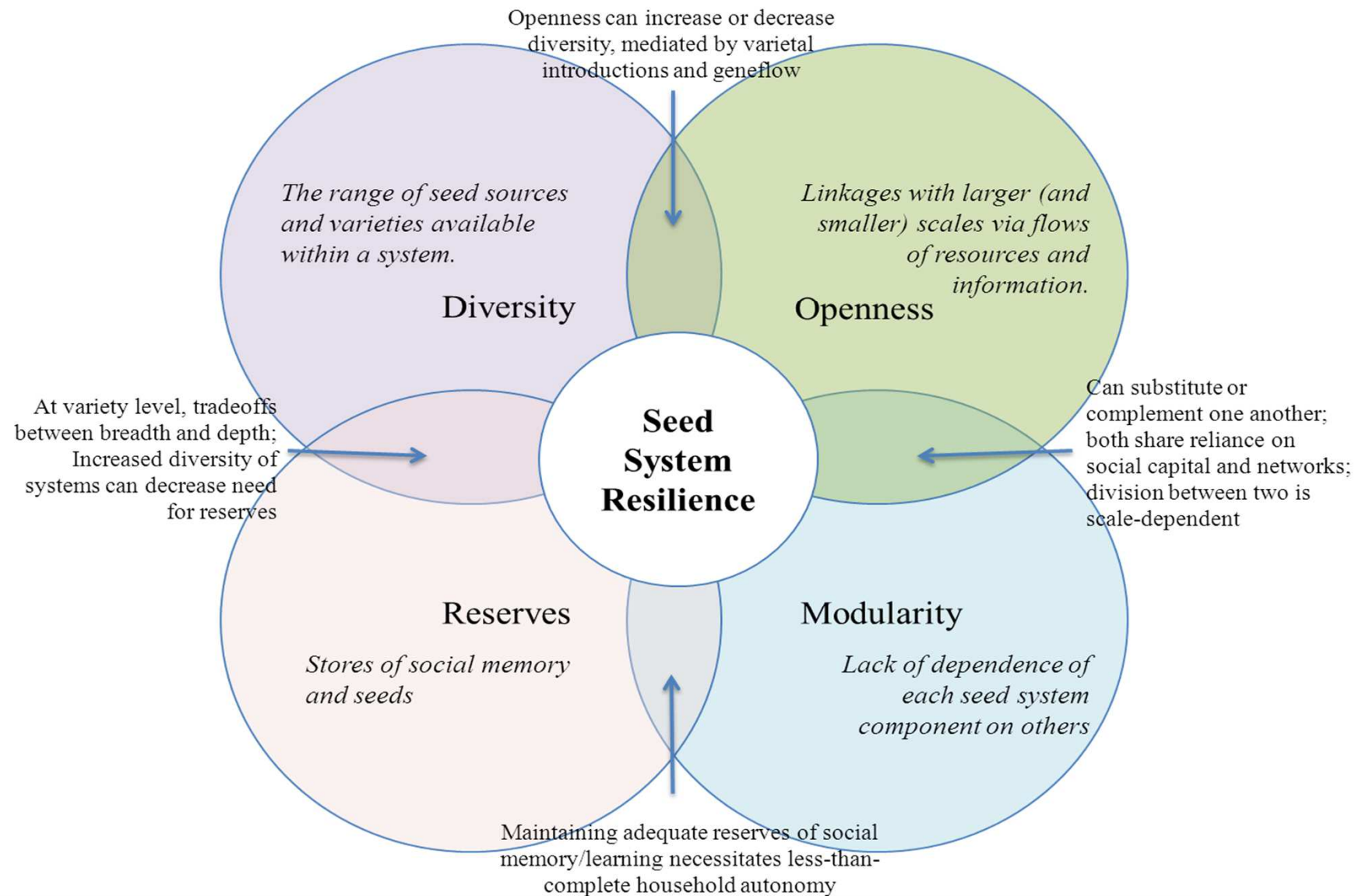
## Seed system resilience

- Crucial for agbio conservation and food security
- It comprises many sources: own harvest, personal exchanges, informal markets, private seed companies, public procurement...
- Provide *natural insurance* in the face of rapid global economic and environmental change leading to shifts in growing conditions around the world
  - → need enabling institutions for cross-geographic exchanges of planting materials and local knowledge

## Seed resilience

- Seed systems are prone to shocks (e.g., droughts, bottlenecks in supply) and must respond to slow stresses (e.g., changes in crop variety demand)
- Problem: Tendency towards greater centralisation and 'control' of seed systems, replacing social capital with financial capital.
- Key characteristics of seed system resilience:
  - **diversity** of sources and planting materials
  - **openness** of seed networks
  - **reserves** of planting materials and knowledge
  - **autonomy/modularity** and connectedness between individuals/institutions within scales





# Landscape approach



# Agrobiodiversity in 8 landscapes



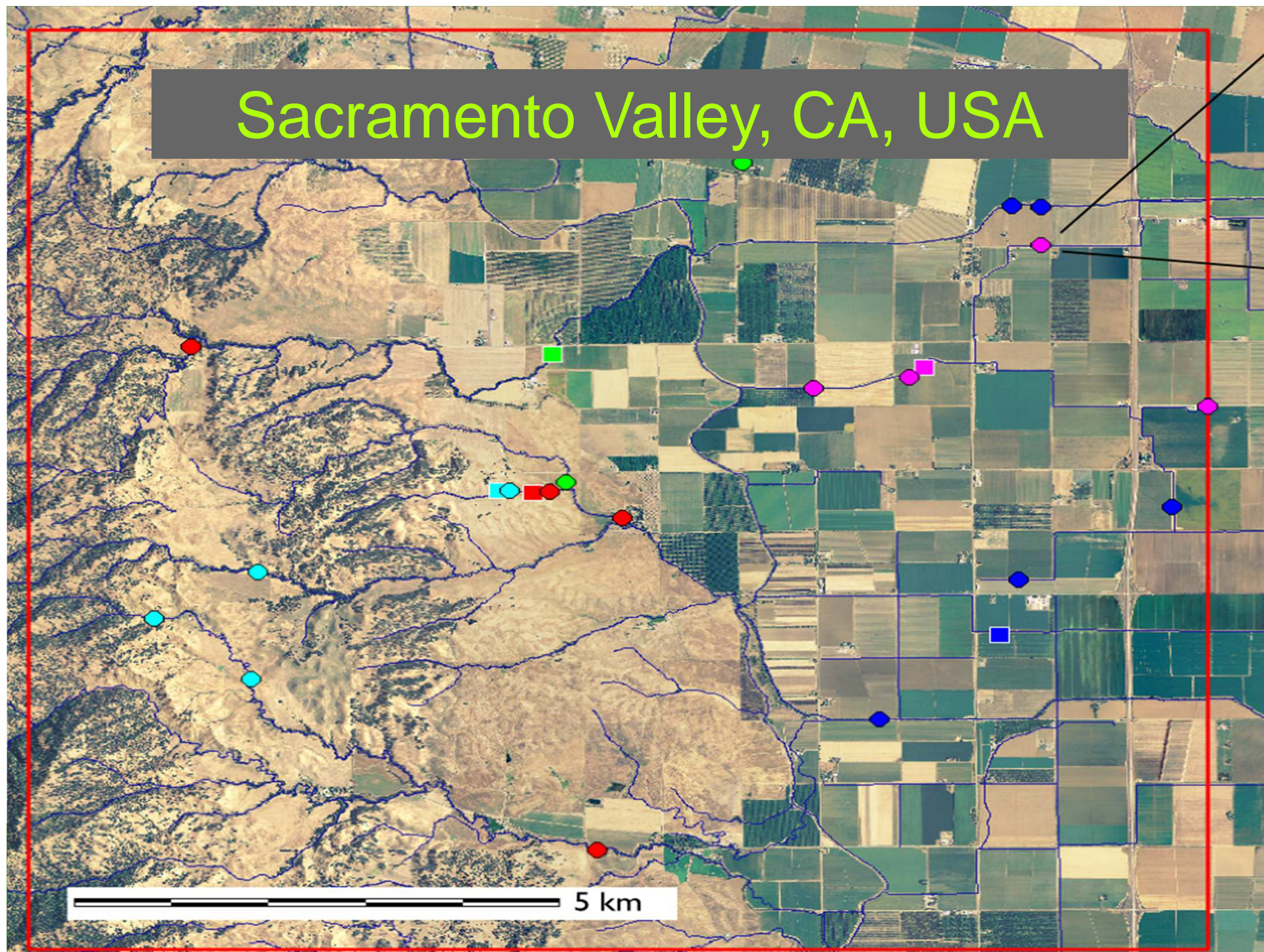
*What role for agbio in different types of landscapes?*

*What forms of capital support transition to agbio based system?*





# Sacramento Valley, CA, USA





An aerial photograph of a rural landscape in Hoeksche Waard, NL. The image shows a winding river or canal on the left side, flowing through a patchwork of green agricultural fields. A small, dark-roofed building is situated in the middle of the landscape, surrounded by a line of trees. The overall scene is a typical Dutch rural landscape with its characteristic flat terrain and water features.

Hoeksche Waard, NL





Chiapas, MX



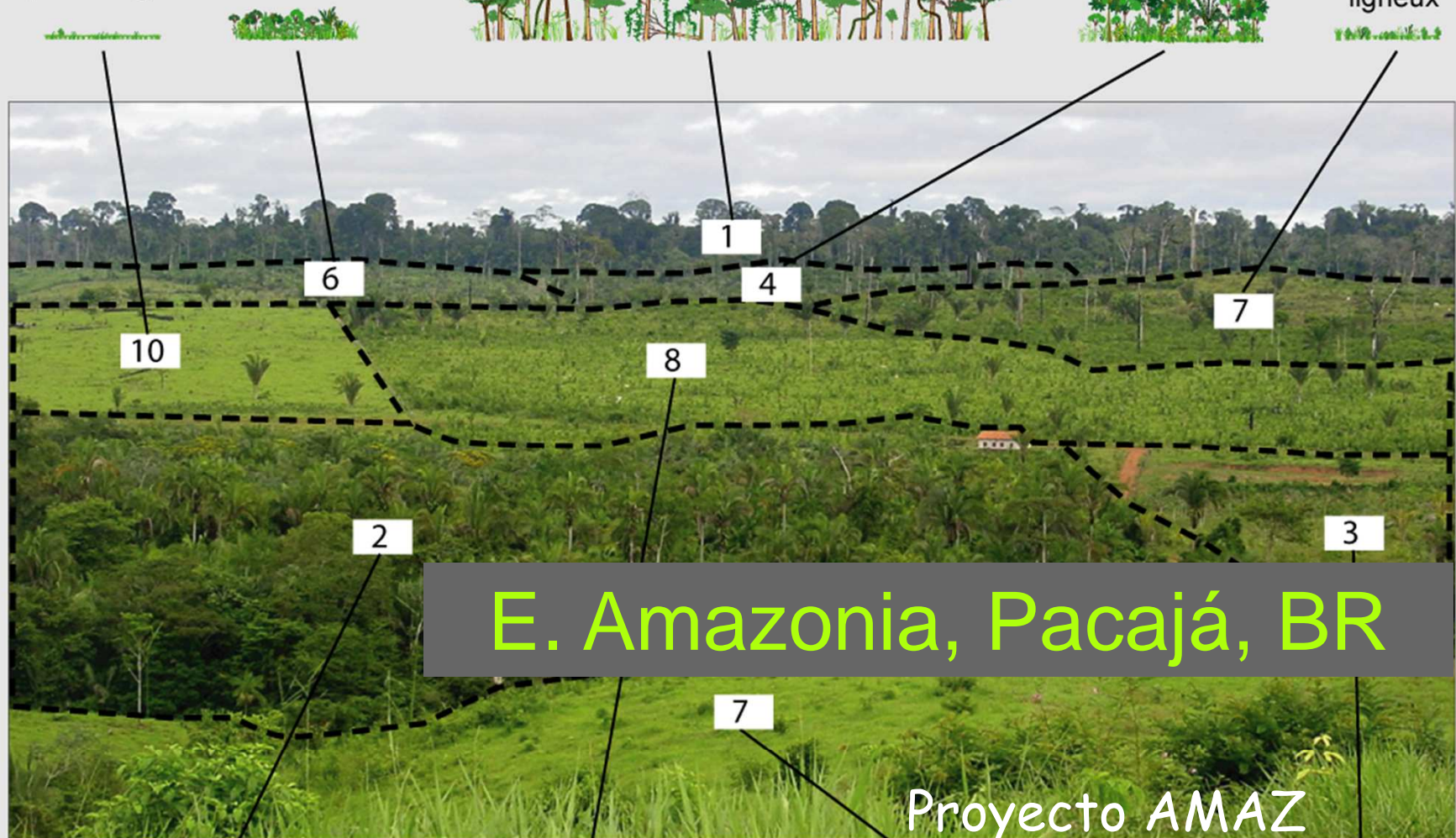
6: Jeune *capoeira*

1: Forêt exploitée

4: Ancienne *capoeira*

7: Pâturage avec  
ligneux

10: Pâturage avec  
peu de ligneux



E. Amazonia, Pacajá, BR

Proyecto AMAZ

2: Bas-fond avec  
végétation arborescente

8: Pâturage avec *babaçu*  
(*Orbignya sphacelata*)

7: Pâturage avec  
ligneux

3: Bas-fond avec  
végétation herbacée





Native forest

Agroforestry

Sun-coffee



Zona da Mata, BR



Jambi, Sumatra, ID

# Hutan Desa

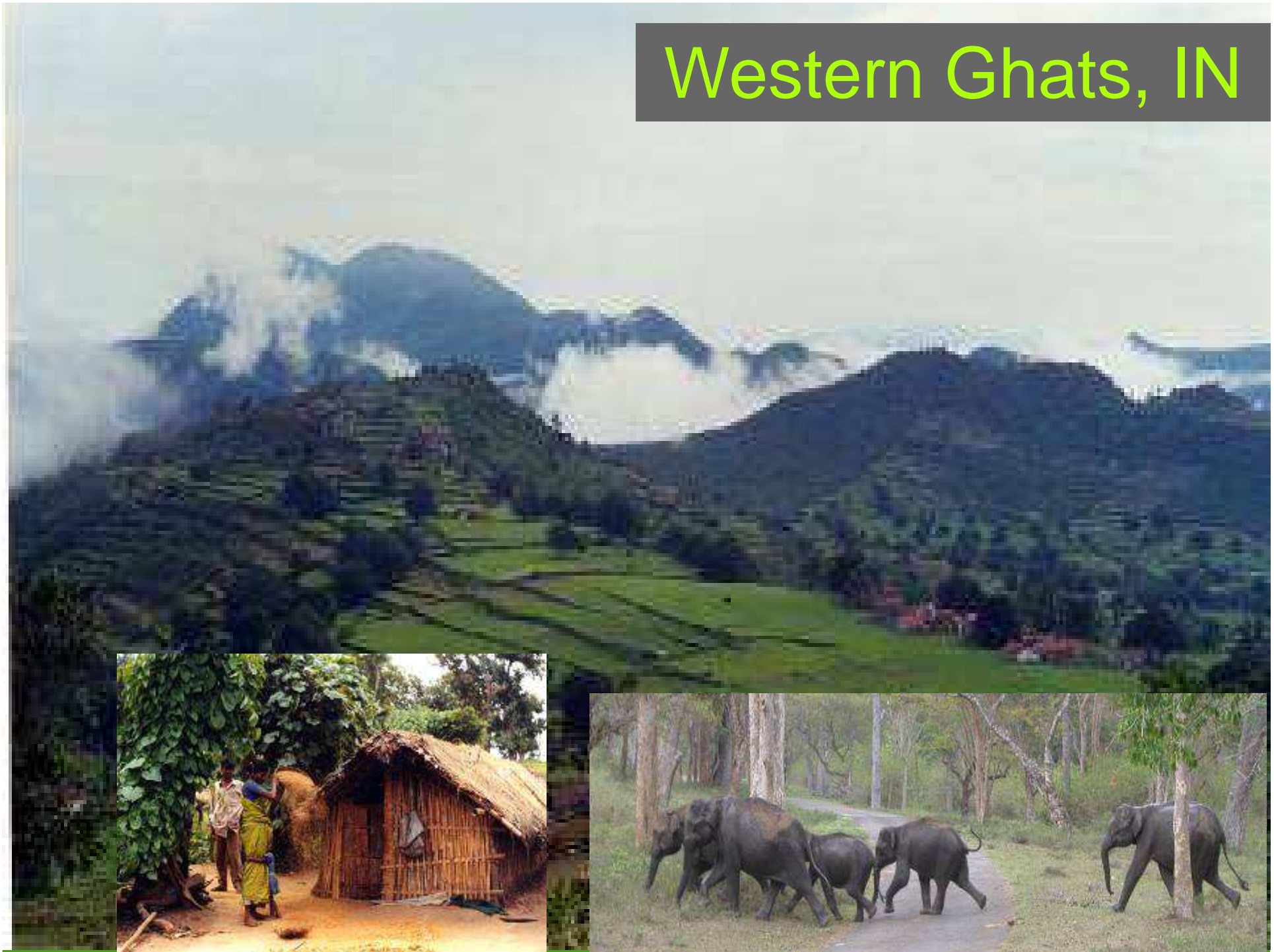
APPROXIMATELY 5000

people come to the Lubuk Beringin village

**Partial answer to the issues of  
local use rights  
and tenure security?**



# Western Ghats, IN

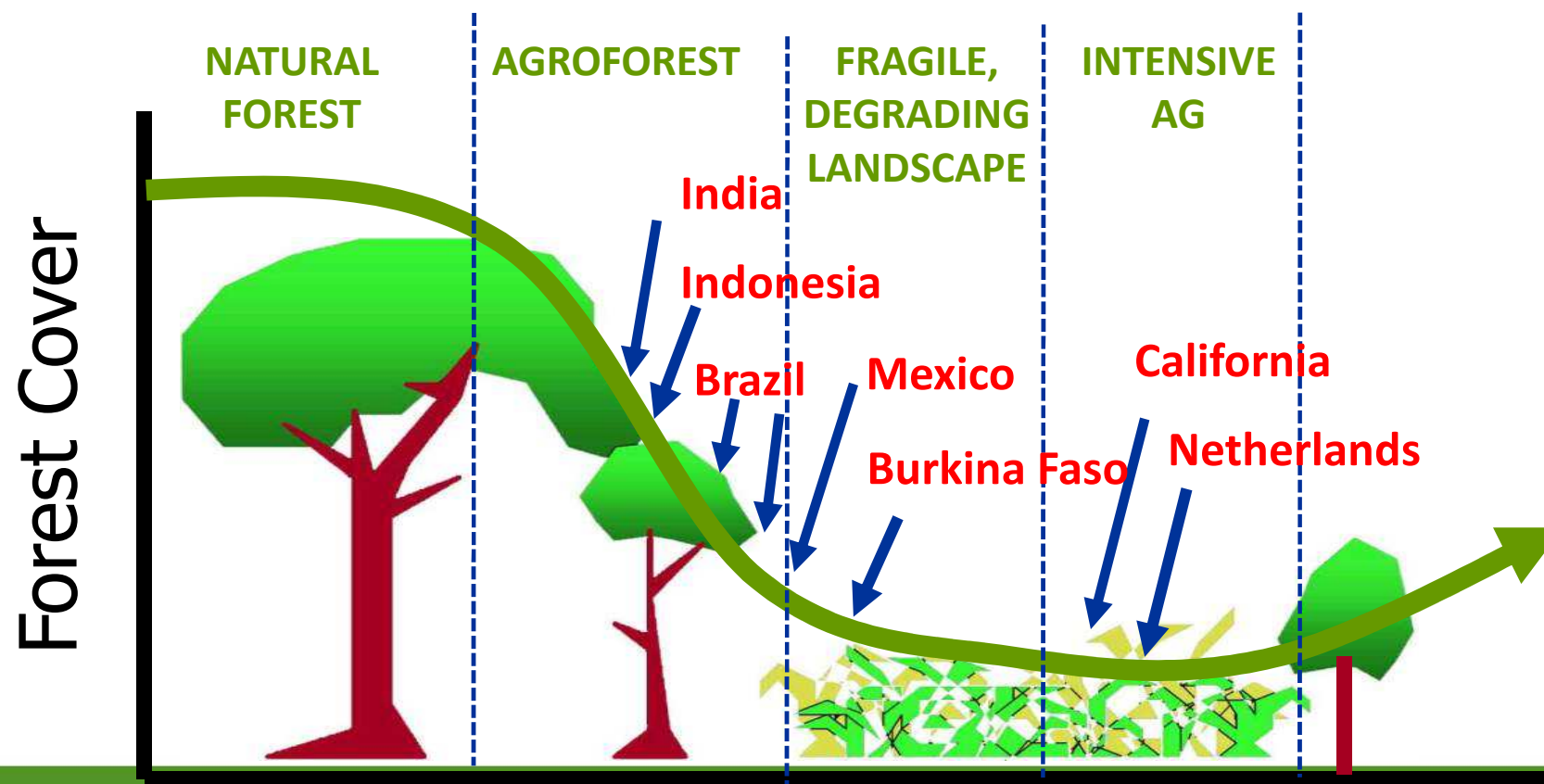




# The eight agricultural landscapes

150 - 600 km<sup>2</sup> in area; biodiversity hotspots  
Participatory research on social-ecological systems

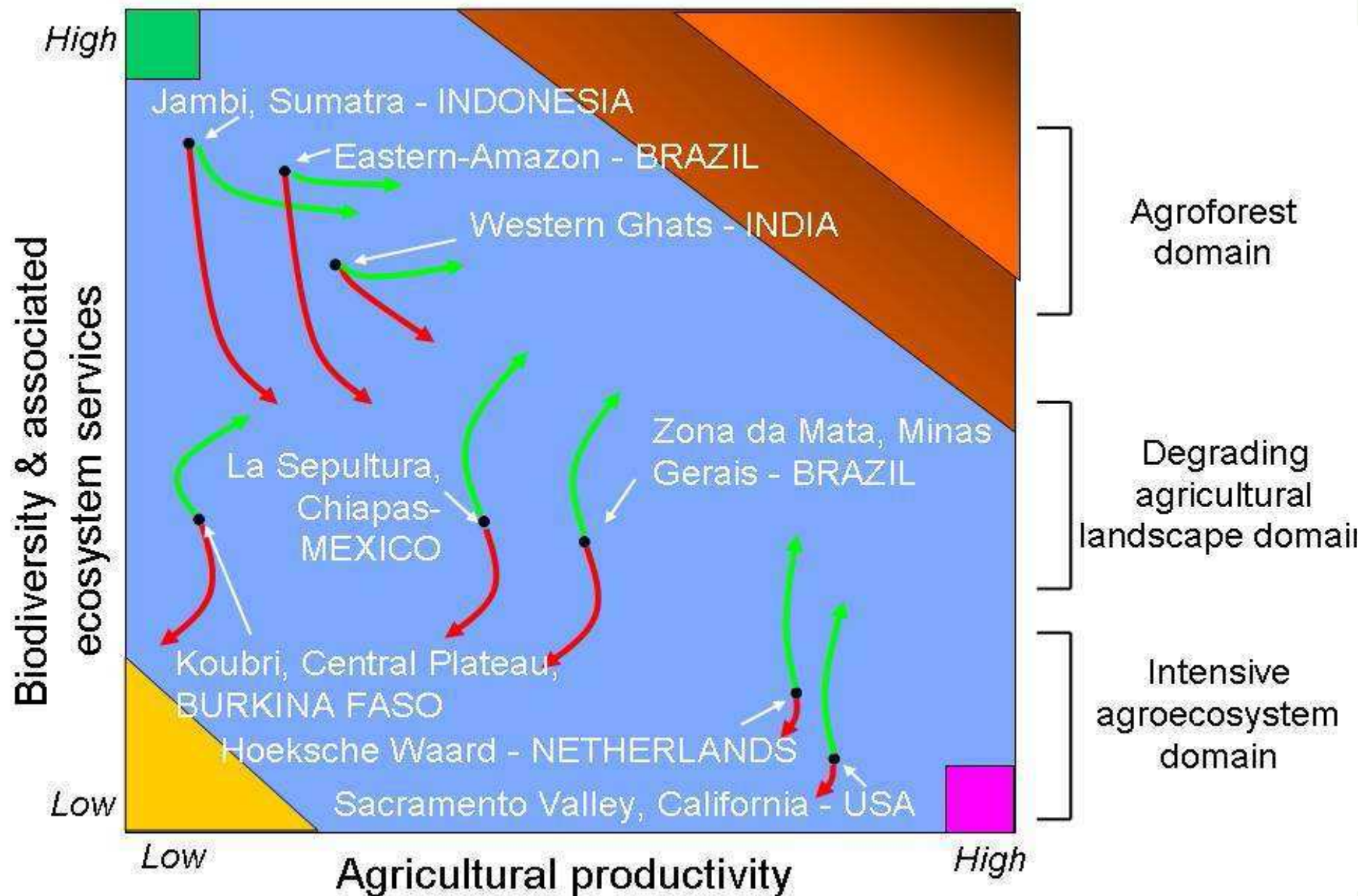
Sites represent landscapes positioned along a biodiversity-productivity gradient and a wide range of socio-economic conditions



**bc<sup>3</sup>**

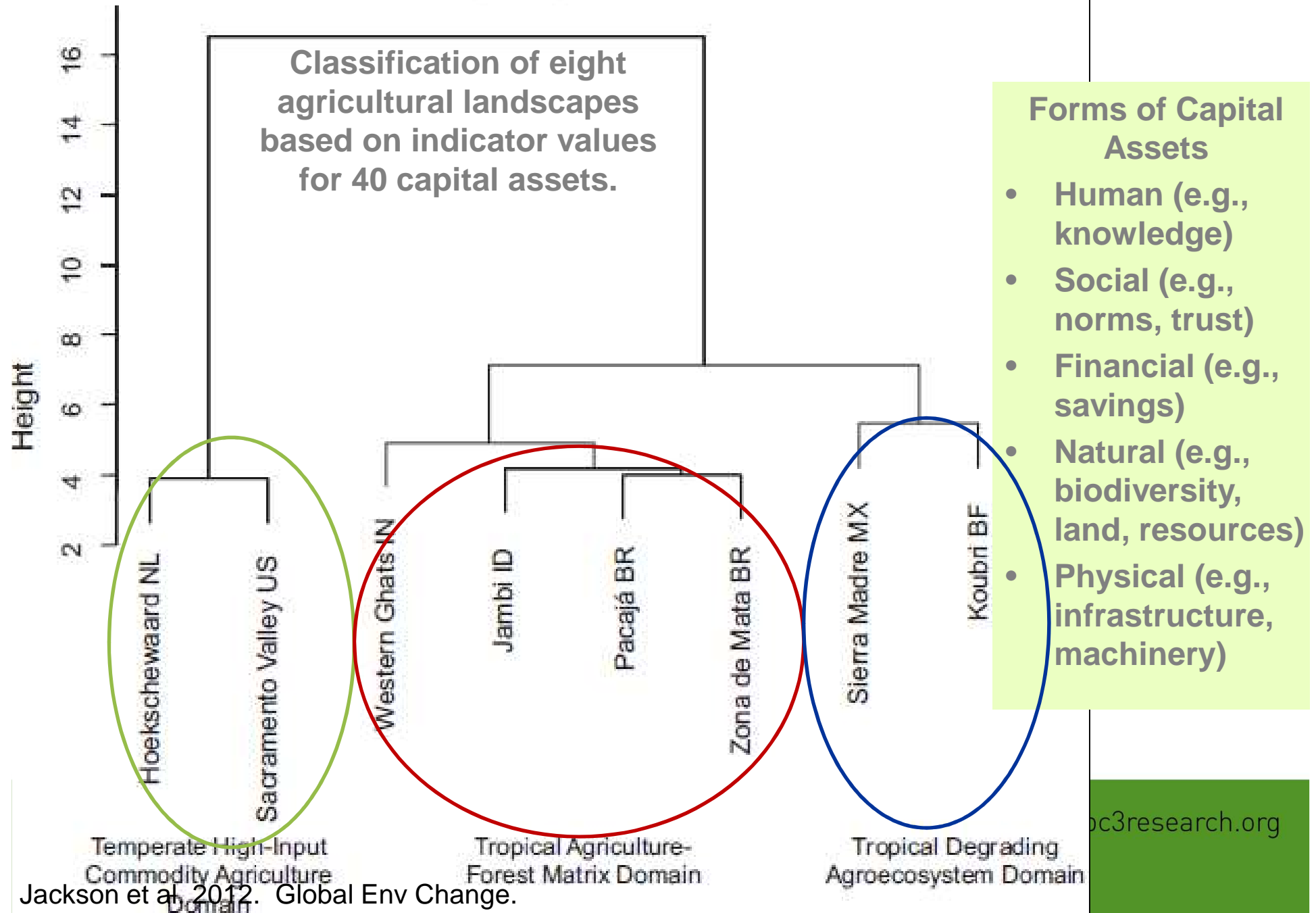
BASQUE CENTRE  
FOR CLIMATE CHANGE  
Klima Aldaketa Ikergai

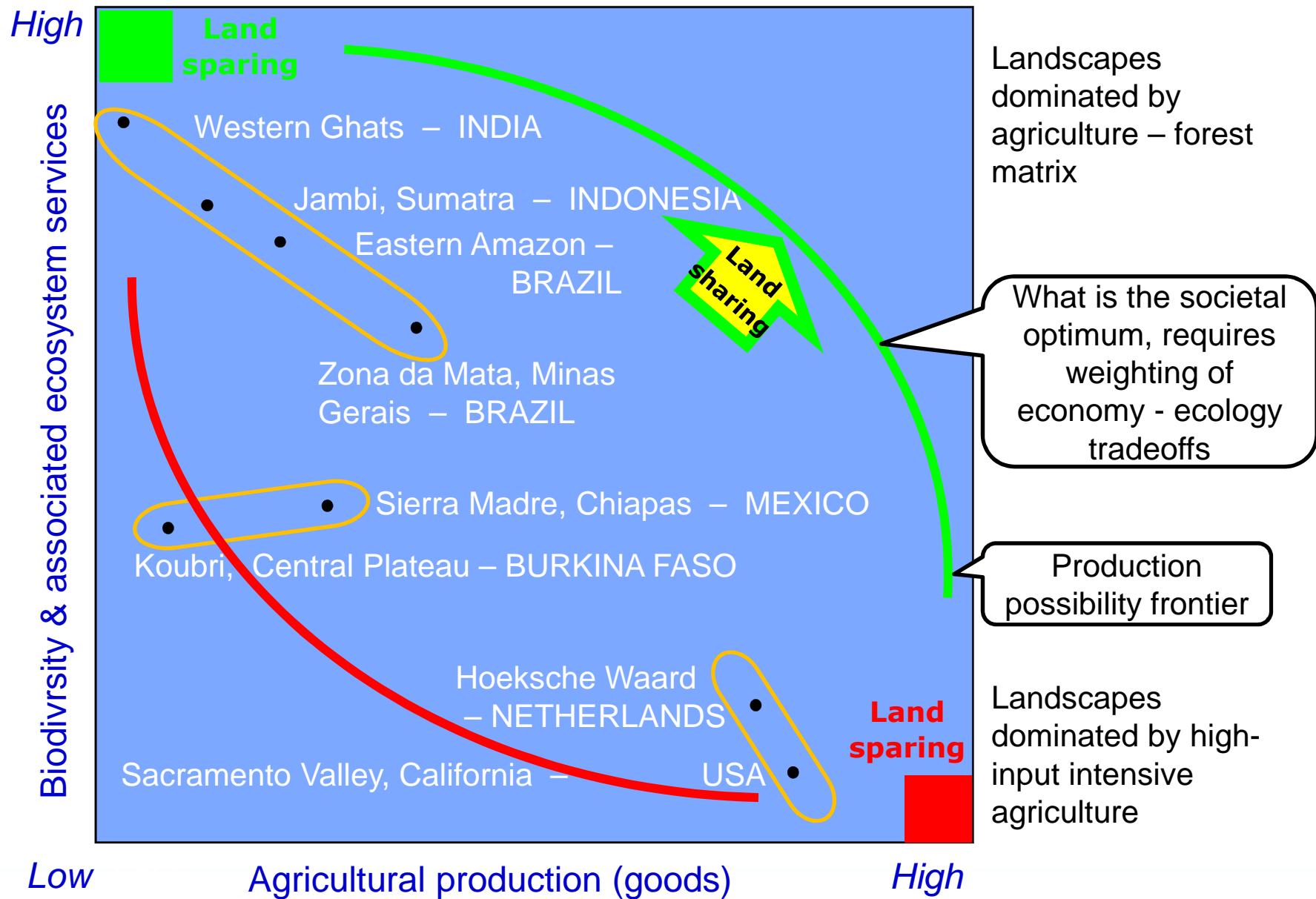
[www.bc3research.org](http://www.bc3research.org)



→ Current dominant trend → Biodiversity-based alternative pathway

## Hierarchical Clustering of Agricultural Landscapes





Modified after: Jackson LE et al. (2012) Global Environmental Change 22: 623-639.

## Results of the exploratory analysis

- Implementation of interventions differed among the landscapes, e.g. financial capital for new farming practices in the intensive ag domain vs. developing market value chains in the other domains
- Agrobiodiversity positively associated with indicators of human and social capital: Farmers' knowledge about the social-ecological system, trust and reciprocity can increase a domain's capacity for self-organization.
- Inventories of assets at the landscape level can inform adaptive management of agrobiodiversity-based interventions
- Multi-landscape research approach to support local knowledge, context-specific interventions that stimulate regional innovation, adaptive capacity and transformational ag system at the farm and landscape scales



## Key of local to global connectivity for adaptative mg



The challenge for resilient agricultural systems includes identifying enabling governance/interventions that can foster local transformation through innovation and strengthen local communities' influence at higher scales

# **Economic incentives**

## **Direct payments/reward/compensation**

### **Payments for Agrobiodiversity Services (PACS)**

## Economic incentives for on-farm conservation

- PES is increasingly promoted as a flexible approach to reward resource managers for conservation and associated ecosystem services
- Economic incentive design must acknowledge the institutional context, e.g., collective action of CPRs
- What role for direct payments to enhance cooperation and reciprocity? Focus on institutional tradeoffs
- Crowd-in/out of non-economic motivations for agbio conservation

## Can there be a PES for agrobiodiversity (PACS)

- Some challenges include
  - conflicting tenurial arrangements
  - lack of baseline information and verification
  - leakage
  - performance evaluation metrics
  - Targeting payment recipients
  - Distribution of payments.
  
- PES often contested for narrow efficiency focus on conservation





**Peru: Northern Altiplano**

→ subsistence-based

**Bolivia: Southern Altiplano**

→ commercial farming systems





## PACS experiment (1<sup>st</sup> round, 2010)

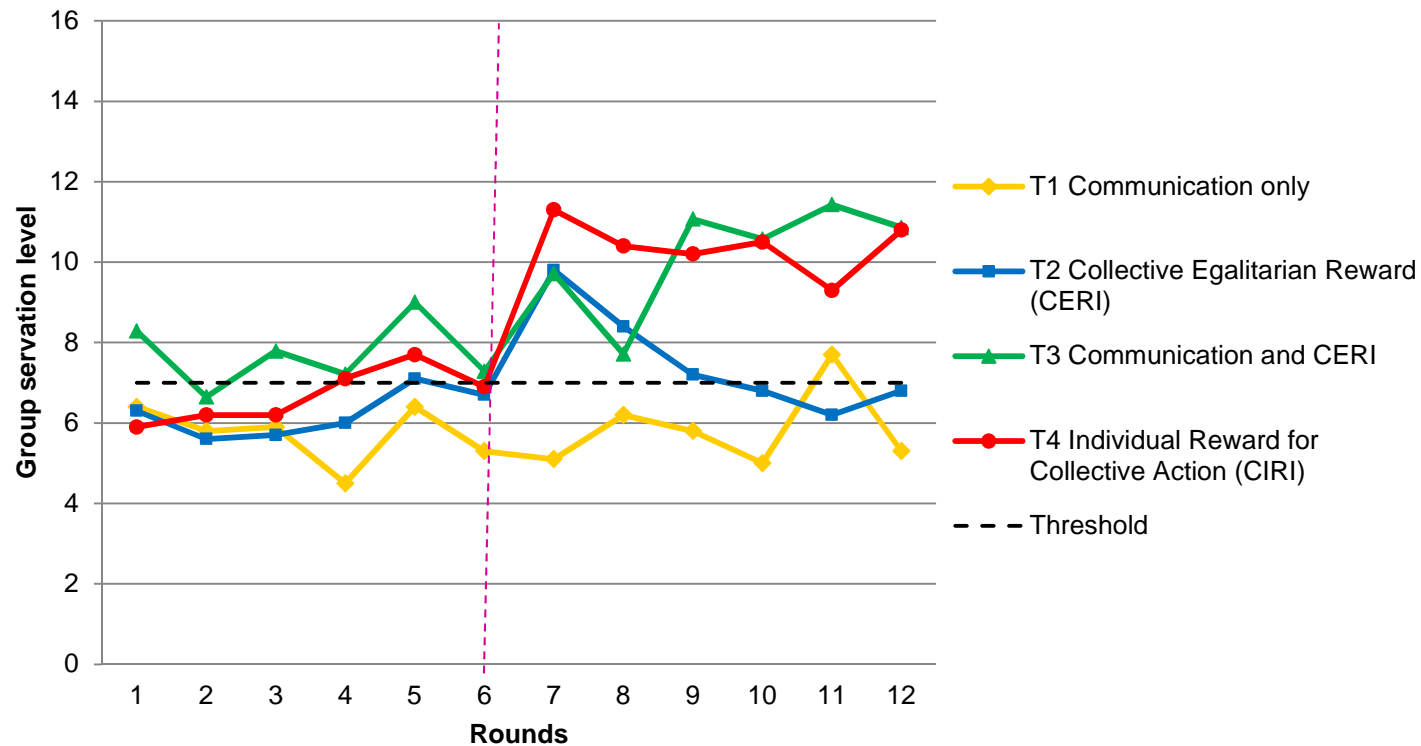
- 80 farmers (baseline rounds + treatment rounds)
- Results indicate a complex system where unconditional cooperation is strong in less commercial ag & free riding behaviour in highly commercial contexts
- PES may crowd-out existing pro-social behaviour under strong CPR institutions but may crowd-in pro-social behavior in market orientated contexts

Narloch, Pascual and Drucker. 2012. *World Development*.



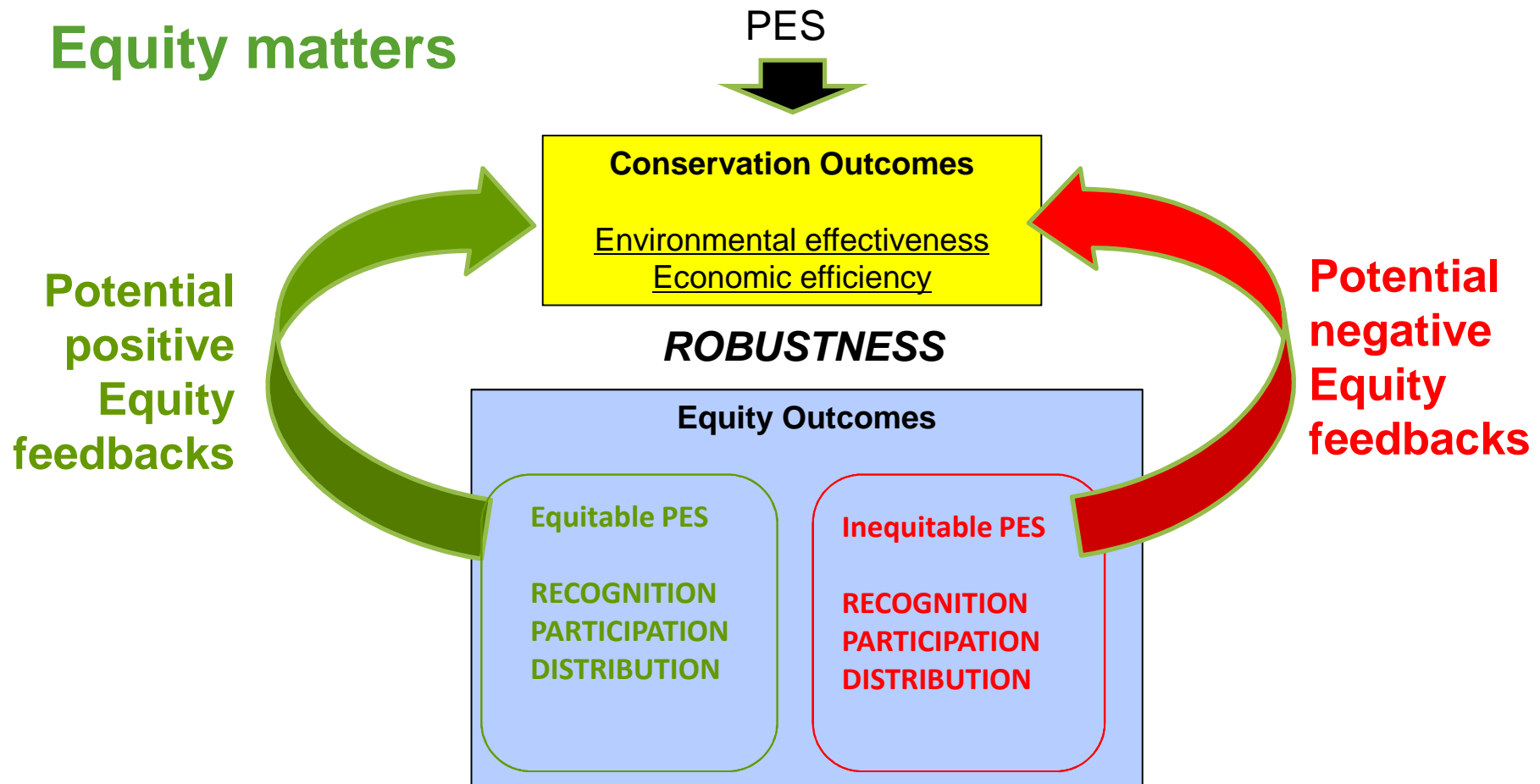
## PACS field games (2<sup>nd</sup> round, 2012)

- Community/egalitarian or individual-based rewards? What role for deliberation/communication?



*Midler, Pascual, et al. In preparation*

## Equity matters



## Where to go now?



- Resilient agricultural systems based on agrobiodiversity interventions requires knowledge-intensive and context-specific management of different capital assets.
- Relying on agrobiodiversity for resilience requires new approaches to mobilizing innovation through human and social capital
- Resilience research in agricultural systems needs integration of knowledge on local and regional decision-making into global agendas
- Just Ecosystem Management → New social ecological frontier



# Agrobiodiversity and the energy crisis

- Agrobiodiversity as an opportunity to enhance resilience in light of Peak oil?
  - Dominant intensification model based on energy intensive technology → Macroeconomic impacts, e.g., rise in commodity food (FAO, OECD)
  - What effects on farmers' livelihoods
  - How would this feedback to landscapes?



Thank you!

Eskerrik asko!